

MAIL STOP PCT

ATTORNEY'S DOCKET NUMBER: 26440U

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: 9 DECEMBER 2004 (9.12.2004)	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NUMBER: If known Not yet Assigned 10/517343	
INTERNATIONAL APPLICATION NUMBER: PCT/CH03/00338	INTERNATIONAL FILING DATE: 30 MAY 2003 (30.05.2003)	PRIORITY DATE CLAIMED: 24 JUNE 2002 (24.06.2002) CH	
TITLE OF INVENTION: CONNECTOR-PLUG PART FOR AN OPTICAL PLUG-IN CONNECTION, METHOD FOR CONNECTING A CONNECTOR-PLUG PART TO THE END OF AN OPTICAL WAVEGUIDE CABLE AND DEVICE FOR CARRYING OUT SAID METHOD			
APPLICANT(S) FOR DO/EO/US: Silverio De MARCHI			
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <p>6. <input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:			
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; TRANSMITTAL LETTER TO U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING FILING UNDER 35 U.S.C. 371; INTERNATIONAL PUBLICATION NUMBER: WO 2004/001471 A1 WITH ENGLISH TRANSLATION AND WITH INTERNATIONAL SEARCH REPORT (PCT/ISA/210 IN ENGLISH AND GERMAN; INTERNATIONAL PUBLICATION DATE: 31 DECEMBER 2003 (31.12.2003), WHICH CONSISTS OF 20 PAGES: 2 PAGES OF THE COVER SHEET WITH THE ENGLISH ABSTRACT, 10 PAGES OF TEXTUAL SPECIFICATION, 4 PAGES OF 17 CLAIMS, 4 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH ATTACHMENT A TO BE EXAMINED; EXECUTED INVENTOR'S DECLARATION AND POWER OF ATTORNEY; EXECUTED ASSIGNMENT WITH RECORDATION COVER SHEET; INFORMATION DISCLOSURE STATEMENT; PTO FORM-1449 WITH TWO (2) CITED PRIOR ART REFERENCES; POSTCARD FOR EARLY NOTIFICATION OF SERIAL NUMBER. </p>			

ATTORNEY'S DOCKET NUMBER: 26440U

U.S. APPLICATION NUMBER: <i>107517343</i> (If known, Not Yet Assigned)	INTERNATIONAL APPLICATION NUMBER: PCT/CH03/00338	DATE: 9 DECEMBER 2004 (9.12.2004)																																																						
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>Basic National Fee (37 CFR 1.492(a)(1)-(5): (to include Basic National Stage Fee, National Stage Search Fee, and National Stage Examination Fee)</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$750.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,110.00</p> <p>International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) IPEA-U.S.....\$ 100.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p>		CALCULATIONS \$ 1,000.00	PTO USE ONLY																																																					
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		\$ 0.00																																																						
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER: FILED</th> <th>NUMBER: EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>17-20=</td> <td>0</td> <td>X \$ 50.00</td> </tr> <tr> <td>INDEPENDENT</td> <td>2- 3=</td> <td>0</td> <td>X \$ 200.00</td> </tr> <tr> <td colspan="3">Multiple dependent claims(s) (if applicable)</td> <td>+ \$360.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 1,000 .00</td> </tr> <tr> <td colspan="3">Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$.00</td> </tr> <tr> <td colspan="3">SUBTOTAL =</td> <td>\$.00</td> </tr> <tr> <td colspan="3">Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="3">TOTAL NATIONAL FEE =</td> <td>\$ 1,000.00</td> </tr> <tr> <td colspan="3">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td>\$ 40.00</td> </tr> <tr> <td colspan="3">TOTAL FEES ENCLOSED =</td> <td>\$ 1,040.00</td> </tr> <tr> <td colspan="3"></td> <td>Amount to be: refunded charged</td> </tr> <tr> <td colspan="3"></td> <td>\$ \$</td> </tr> </tbody> </table>				CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE	TOTAL	17-20=	0	X \$ 50.00	INDEPENDENT	2- 3=	0	X \$ 200.00	Multiple dependent claims(s) (if applicable)			+ \$360.00	TOTAL OF ABOVE CALCULATIONS =			\$ 1,000 .00	Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).			\$.00	SUBTOTAL =			\$.00	Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +			\$ 0.00	TOTAL NATIONAL FEE =			\$ 1,000.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 40.00	TOTAL FEES ENCLOSED =			\$ 1,040.00				Amount to be: refunded charged				\$ \$	
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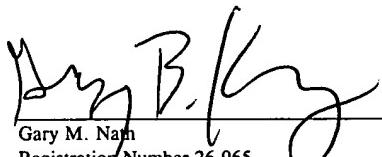
U.S. APPLICATION NUMBER: (If known) Not Yet Assigned 10/517343	INTERNATIONAL APPLICATION NUMBER: PCT/CH03/00338	DATE: 9 DECEMBER 2004 (12.2004)
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- a. Two checks in the amounts of \$ 1,000.00 and \$ 40.00 to cover the above fees are enclosed.
- b. Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. Fees are to be charged to a credit card WARNING: Information on this form may become public Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Registration Number 26,965
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Registration Number 45,273
Customer Number 20529

10/517343

MAIL STOP PCT

Attorney Docket: 26440U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
De MARCHI

DT09 Rec'd PCT/PTO 09 DEC 2004

International Application Number: PCT/CH03/00338

Serial Number: NOT YET ASSIGNED

International Filing Date: 30 May 2003 (30.05.2003)

Filed: December 7, 2004

For: **CONNECTOR-PLUG PART FOR AN OPTICAL PLUG-IN CONNECTION, METHOD FOR CONNECTING A CONNECTOR-PLUG PART TO THE END OF AN OPTICAL WAVEGUIDE CABLE AND DEVICE FOR CARRYING OUT SAID METHOD**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

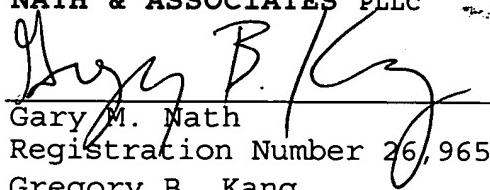
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371; International Publication No: WO 03/103536 A2 with English Translation, with International Search Report (PCT/ISA/210) in English and German, International Publication Date: 31 December 2003 (31.12.2003), which consists of 20 pages:
2 Pages of the Cover Sheet with the English Abstract,
10 Pages of Textual Specification,
4 Pages of 17 Claims,
4 Sheets of Drawings;
- (3) **Preliminary Amendment with Attachment A to be Examined;**
- (4) Executed Inventor's Declaration and Power of Attorney;
- (5) Executed Assignment with Recordation Cover Sheet;
- (6) Information Disclosure Statement;
- (7) PTO Form-1449 with Two (2) Cited Prior Art References;
- (8) Check No. zzzz9 \$ 1,000.00 for basic filing fee, search fee, and examination fee;
- (9) Check No. zzz30 \$ 40.00 for recordation fee;
- (10) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Gary M. Nath
Registration Number 26,965

Gregory B. Kang
Registration Number 45,273
Customer Number 20529

Date: December 9, 2004
NATH & ASSOCIATES PLLC
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Washington, D.C. 20005-1503
GMN/GBK/le